

AO 440 (Rev. 06/12) Summons in a Civil Action

# UNITED STATES DISTRICT COURT

for the

Southern District of New York

**EXHIBIT**  
**3**

SECURITIES AND EXCHANGE COMMISSION

\_\_\_\_\_  
*Plaintiff(s)*

v.

STEVE M. BAJIC, et al

\_\_\_\_\_  
*Defendant(s)*

Civil Action No. 1:20-cv-00007-LGS

## SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* BLACKLIGHT SA

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Alicia Reed, Esq.  
SECURITIES AND EXCHANGE COMMISSION  
Boston Regional Office  
33 Arch St., 24th Floor  
Boston, MA 02110

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 01/08/2020

*/s./D. Howie*  
\_\_\_\_\_  
Signature of Clerk or Deputy Clerk





**U.S. Department of Justice**

Criminal Division

VAA:WHG:JEC:CLF:cdm

*Office of International Affairs*

*Washington, D.C. 20530*

July 28, 2020

VIA EMAIL

Ms. Marlee Miller  
Branch Chief  
U.S. Securities and Exchange Commission  
Office of International Affairs  
100 F Street, NE  
Washington, DC 20549

Re: Materials Provided in Response to the Request for Legal Assistance in the Prosecution of Blacklight SA and Anthony Killarney; OIA Reference Number: CRM-182-73280 (please use when responding)

Dear Ms. Miller:

Pursuant to your request for assistance in the above-referenced matter, enclosed please find evidence from Switzerland, consisting of a letter from the Canton of Geneva, receipts of the delivery of service, and certificates of authenticity. Please note that under Swiss Criminal Code Article 85(4)(a), service of process by registered mail that is not collected is considered effective on the seventh day following the unsuccessful attempt at service. Given that the Swiss authorities also made several attempts to effect personal service on both Blacklight SA and Anthony Killarney, the Swiss Federal Office of Justice has indicated that this additional attempt by registered mail is sufficient under Swiss law.

This office is forwarding these materials as received and is not retaining a copy. The documents will require translation. Please review the material and advise within 30 days whether the evidence completes the execution of your request.

Article 5 of the Treaty governs the use limitations applicable to this material. Should you anticipate the need to use the materials for a different purpose, please consult this office in advance for the purpose of obtaining authorization. In addition, the following conditions to providing assistance have been imposed: the Federal Office of Justice, Swiss Central Authority for the USA, has determined that any public disclosure of the enclosed documents - as defined by article 320 of the Swiss Criminal Code (Violation of Official Secrecy), and including disclosure pursuant to Freedom of Information Act requests - would violate the laws applicable to the FOJ.

Please confirm by email to International Affairs Specialist Caleb Montague at Caleb.Montague@usdoj.gov that you have received the enclosed evidence. If you have any questions, please do not hesitate to contact me at (202) 262-6992, or Colette.Ford@usdoj.gov.

Sincerely,

Vaughn A. Ary  
Director

By:

A handwritten signature in black ink, appearing to read "Colette L. Ford". The signature is fluid and cursive, with the first name "Colette" being more prominent.

Colette L. Ford  
Senior Trial Attorney

Enclosures: Materials from Switzerland



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Swiss Confederation

Federal Department of Justice and Police FDJP

**Federal Office of Justice FOJ**  
Division for International Legal Assistance  
Mutual Assistance Unit II: Obtaining Evidence and Service of Documents

CH-3003 Berne, FOJ

**FEDERAL EXPRESS**  
Office of International Affairs OIA  
Department of Justice  
Criminal Division  
Suite 800  
1301 New York Ave., N.W.  
Washington DC 20005  
UNITED STATES  
Phone +1 202 514 00 00

Your reference: CRM 182-73280 CRM-182-73192  
Our reference: Z-20-200-3  
Person responsible: MOC  
Berne, July 15, 2020

**Your request for service of documents of May 4, 2020 on BLACKLIGHT SA and KILLARNEY Anthony**

Dear Sir or Madam

Reference is made to the above-mentioned request. Please find enclosed the resulting documents.

Best regards

Federal Office of Justice

Christine Moosmann

Document without signature

Enclosures mentioned

Federal Office of Justice FOJ  
Christine Moosmann  
Bundesrain 20, 3003 Berne, Switzerland  
Phone +41 58 467 31 58, Fax +41 58 462 53 80  
christine.moosmann@bj.admin.ch  
www.bj.admin.ch

BJ-00407891 / P003

Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

Geneva, July 14, 2020

NA/72/2020 HPG

Office of the Public Prosecutor  
Bailiff Department  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

Federal Office of Justice  
Office of International Judicial  
Assistance  
Attn.: Ms. Moosmann  
Bundesrain 20  
3003 Bern

Ref.: NA/72/2020 HPG Your ref.: Z-20-200-3      MOC
--

It is our privilege to return to you the requested judicial document notice:

- Registered mail that was reported on July 10 by the Swiss Postal System as **EXECUTED** (no recipient signature due to COVID-19)

Sincerely,

On behalf of the Office of the Public Prosecutor  
Bailiff Department  
Eric Fontannaz  
[signed]

[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

---

Office of the Public Prosecutor, Bailiff Department – [telephone, website, fax]  
E-fax terms of use: [website]

Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM  
TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

Geneva, date of postal stamp

NA/72/2020 HPG

Office of the Public Prosecutor  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

REGISTERED MAIL

Blacklight SA  
C/O Michael Mraz, Esq.  
Law firm of Wenger & Vieli AG  
Dufourstrasse 56  
Postfach  
8034 Zurich

Ref.: NA/72/2020 HPG Please include in all correspondence
---

Dear Sir,

Enclosed, please find a legal document from the Department of Justice in the USA regarding the company Blacklight SA.

You are receiving this document pursuant to the enclosed power of attorney from March 5, 2020.

Sincerely,

Office of the Public Prosecutor  
Bailiff Department  
[signed]

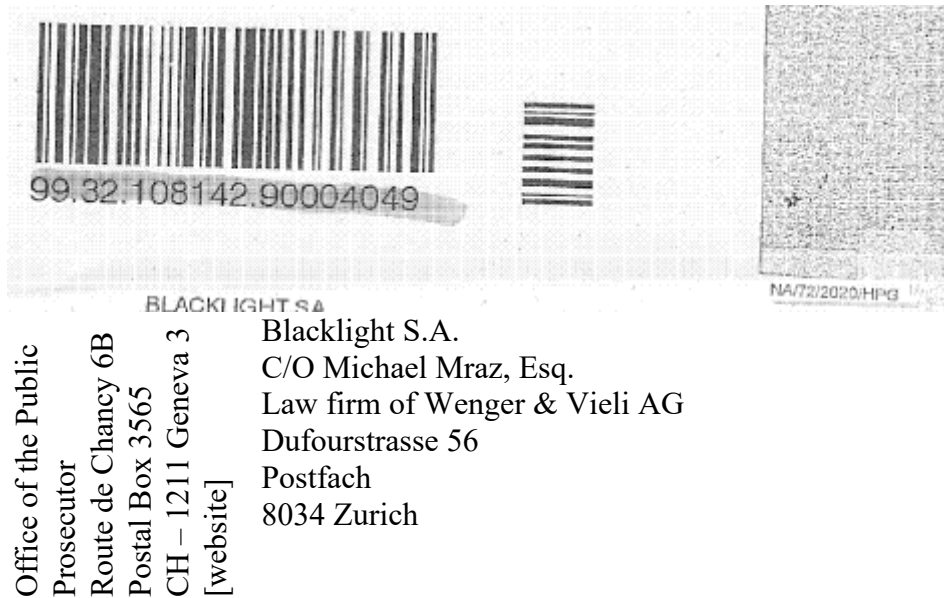
[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

---

Office of the Public Prosecutor [telephone, website, fax]  
E-fax terms of use: [website]  
Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM;  
Telephone service 8:30 AM to 12:00 PM and 2:00 PM to 5:00 PM  
TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

[Envelope]

La Poste



NA/72/2020

**La Poste** [Logo]

For Customers







Online Services

My Shipments

Customer Center

My Shipments

My Shipments

 <b>Coronavirus: We are here for you, but with a few adjustments</b> For contactless delivery of your shipment, select the <b>“Delivery”</b> setting. Deliveries may be delayed at this time.	
Shipment number/PIN 993210814290004049	Search
 <b>PostPac Economy</b> 99.32.108142.90004049  Delivered July 10, 2020	
<b>PostPac Economy</b>  Shipment number: 99.32.108142.90004049	
Delivered July 10, 2020	Delivery address 8008 Zurich
Shipment details 35.3 x 23.3 x 3.8 cm, 1.64 kg	
Friday, July 10, 2020	
	10:15 Delivered by 8032 Zurich Neumunster
Wednesday, July 8, 2020	
	16:53 Shipment processed for delivery 8520 Frauenfeld Paketzentrum
	10:05 Shipment processed 1310 Daillens Package Center
Tuesday, July 7, 2020	
	16:45 Shipment announced by sender (information received)
--	show less



Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

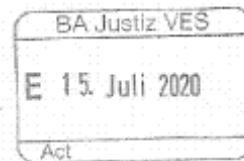
Geneva, July 14, 2020

NA/71/2020 HPG

Office of the Public Prosecutor  
Bailiff Department  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

Federal Office of Justice  
Office of International Judicial  
Assistance  
Attn.: Ms. Moosmann  
Bundesrain 20  
3003 Bern

Ref.:	NA/71/2020 HPG
Your ref.:	Z-20-200-3 MOC



It is our privilege to return to you the requested judicial document notice:

- Registered mail that was reported on July 9 by the Swiss Postal System as **EXECUTED** (no recipient signature due to COVID-19)

Sincerely,

On behalf of the Office of the Public Prosecutor  
Bailiff Department  
Eric Fontannaz  
[signed]

[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

---

Office of the Public Prosecutor, Bailiff Department – [telephone, website, fax]  
E-fax terms of use: [website]

Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM  
TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

Geneva, date of postal stamp

NA/71/2020 HPG

Office of the Public Prosecutor  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

REGISTERED MAIL

Mr. Anthony Killarney  
Route du Mandement 102  
1242 Satigny

Ref.: NA/71/2020 HPG Please include in all correspondence
--

Dear Sir,

Enclosed, please find a legal document from the Department of Justice in the USA that relates to you.

Sincerely,

Office of the Public Prosecutor  
Bailiff Department  
[signed]

[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

---

Office of the Public Prosecutor [telephone, website, fax]  
E-fax terms of use: [website]  
Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM;  
Telephone service 8:30 AM to 12:00 PM and 2:00 PM to 5:00 PM  
TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

[Envelope]

La Poste



Office of the Public  
Prosecutor  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

Mr. Anthony Killarney  
Route du Mandemenet 102  
1242 Satigny

NA/71/2020

**La Poste** [Logo]

For Customers



Online Services

My Shipments

Customer Center

My Shipments

My Shipments

	Coronavirus: We are here for you, but with a few adjustments For contactless delivery of your shipment, select the "Delivery" setting. Deliveries may be delayed at this time.	
Shipment number/PIN 993210814290004050		Search
	<b>PostPac Economy</b> 99.32.108142.90004050  Delivered July 9, 2020	




**PostPac Economy**

Shipment number: 99.32.108142.90004050

Delivered  
July 9, 2020

Delivery address  
1242 Satigny

Shipment details  
34.9 x 23.3 x 2.6 cm, 1.02 kg

Thursday, July 9, 2020		
	11:42	Delivered by 1217 Meyrin Distribution
Wednesday, July 8, 2020		
	10:06	Shipment processed for delivery 1310 Dailens Package Center
Tuesday, July 7, 2020		
	16:45	Shipment announced by sender (information received)
--	show less	



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Swiss Confederation

Federal Department of Justice and Police FDJP

**Federal Office of Justice FOJ**  
Division for International Legal Assistance  
Mutual Assistance Unit II: Obtaining Evidence and Service of Documents

CH-3003 Berne, FOJ

**FEDERAL EXPRESS**

Office of International Affairs OIA  
Department of Justice  
Criminal Division  
Suite 800  
1301 New York Ave., N.W.  
Washington DC 20005  
UNITED STATES  
Phone +1 202 514 00 00

Your reference: CRM 182-73280 CRM-182-73192

Our reference: Z-20-200-3

Person responsible: MOC

Berne, July 15, 2020

**Your request for service of documents of May 4, 2020 on BLACKLIGHT SA and KILLARNEY Anthony**

Dear Sir or Madam

Reference is made to the above-mentioned request. Please find enclosed the resulting documents.

Best regards

Federal Office of Justice

Christine Moosmann

Document without signature

Enclosures mentioned

Federal Office of Justice FOJ  
Christine Moosmann  
Bundesrain 20, 3003 Berne, Switzerland  
Phone +41 58 467 31 58, Fax +41 58 462 53 80  
christine.moosmann@bj.admin.ch  
www.bj.admin.ch

BJ-00407891 / P003



République et canton de Genève  
**POUVOIR JUDICIAIRE**  
Ministère public

Genève, le 14 juillet 2020

NA/72/2020 HPG

Ministère public  
Service des huissiers  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
[www.ge.ch/justice](http://www.ge.ch/justice)

OFFICE FEDERAL DE LA JUSTICE  
Division de l'entraide  
judiciaire internationale  
A l'attention de Mme MOOSMANN  
Bundesrain 20  
3003 Berne

Réf : NA/72/2020 HPG  
V.Réf: Z-20-200-3 MOC

Nous avons l'honneur de vous adresser en retour sous ce pli, votre demande de notification d'un acte judiciaire:

- Pli recommandé notifié le 10 juillet par la Poste suisse (pas de signature du destinataire – Covid-19), soit **EXECUTE**.

Nous vous prions d'agréer l'expression de nos sentiments distingués.

Pour le Ministère Public  
Service des huissiers.  
Eric Fontannaz



F\_PG54

Envoi simple

Ministère public - Service des huissiers - Tél : +4122 327 66 00 - <http://ge.ch/justice/ministere-public>  
efax: [efaxMP@justice.ge.ch](mailto:efaxMP@justice.ge.ch) - efax avocats: [efaxMP.avocats@justice.ge.ch](mailto:efaxMP.avocats@justice.ge.ch)  
Règles d'utilisation efax: <http://ge.ch/justice/ministere-public/efax>  
Horaire d'ouverture : 8h00-12h00 et 14h00-17h00  
Accès TPG : 14 arrêt Quidort / 2, 19 arrêt Claire-Vue

FOE



République et canton de Genève  
**POUVOIR JUDICIAIRE**  
Ministère public

Genève, date du timbre postal

NA/72/2020/HPG

Ministère public  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
[www.ge.ch/justice](http://www.ge.ch/justice)

**RECOMMANDE**

BLACKLIGHT SA  
c/o Me Michael Mráz  
Etude Wenger & Vieli AG  
Dufourstrasse 56  
Postfach  
8034 Zürich

Réf : **NA/72/2020 HPG**  
à rappeler lors de toute  
communication

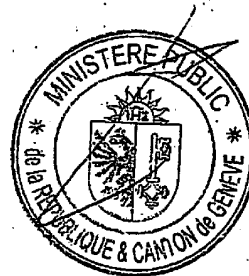
Maître,

Veuillez recevoir ci-joint un acte judiciaire provenant du Département de la justice des USA concernant la Société BLACKLIGHT SA,

Vous le recevez en fonction de votre procuration ci-jointe du 5 mars 2020.

Veuillez agréer, Maître, mes salutations distinguées

Ministère public  
Service des huissiers

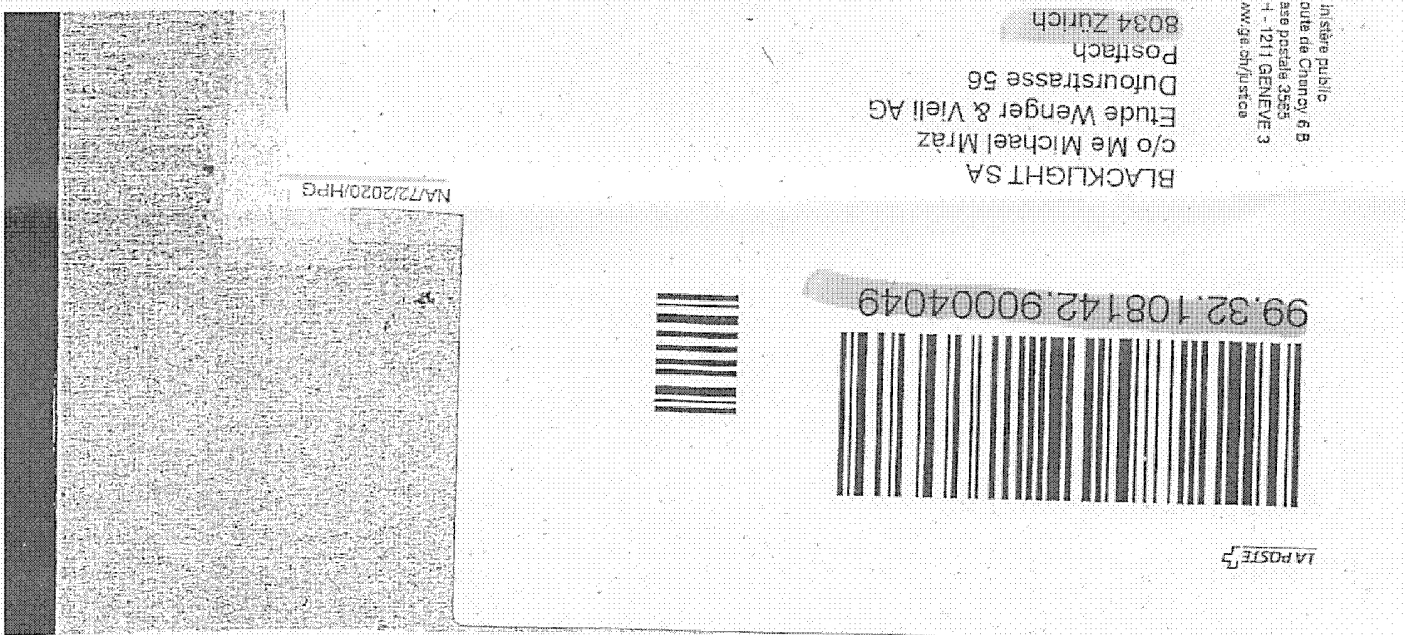


MP\_COUVERTURE

Envoi simple

Ministère public - Tél : +41 22 327 64 63/64 - <http://ge.ch/justice/ministere-public>  
efax: [efaxMP@justice.ge.ch](mailto:efaxMP@justice.ge.ch) - efax avocats: [efaxMP.avocats@justice.ge.ch](mailto:efaxMP.avocats@justice.ge.ch)  
Règles d'utilisation efax: <http://ge.ch/justice/ministere-public/efax>  
Horaire guichet : 8h-12h 14h-17h / tél : 8h30-12h 14h-17h  
Accès TPG : 14 arrêt Quidort / 2, 19 arrêt Claire-Vue

FOE





NA/72/2020


[Espace clients](#) [Services en ligne](#) [Mes envois](#)
[Centre clientèle](#) [Mes envois](#)

## Mes envois



**Coronavirus: nous sommes là pour vous - mais avec certains ajustements**

Pour la réception sans contact de vos envois, sélectionner le **paramètre permanent «Déposer»**. Des retards de distribution sont actuellement possibles.

Numéro de l'envoi / code de retrait

993210814290004049

Chercher



PostPac Economy

99.32.108142.90004049

Distribué

10 juillet 2020

## PostPac Economy

Numéro de l'envoi: 99.32.108142.90004049

### Distribué

10 juillet 2020

### Adresse de distribution

8008 Zürich

### Informations sur l'envoi

35.3 x 23.3 x 3.8 cm, 1.64 kg

vendredi, 10 juillet 2020



10:15 Distribué par  
8032 Zürich Neumünster

mercredi, 8 juillet 2020



16:53 L'envoi a été trié en vue de sa distribution  
8520 Frauenfeld Paketzentrum



10:05 L'envoi a été trié  
1310 Daillens Centre Colis

mardi, 7 juillet 2020



16:45 Envoi annoncé par l'expéditeur (données livrées)

[montrer moins](#)



République et canton de Genève  
POUVOIR JUDICIAIRE  
Ministère public

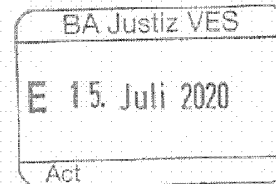
Genève, le 14 juillet 2020

NA/71/2020 HPG

Ministère public  
Service des huissiers  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
www.ge.ch/justice

OFFICE FEDERAL DE LA JUSTICE  
Division de l'entraide  
judiciaire internationale  
A l'attention de Mme MOOSMANN  
Bundesrain 20  
3003 Berne

Réf : NA/71/2020 HPG  
V.Réf: Z-20-200-3 MOC

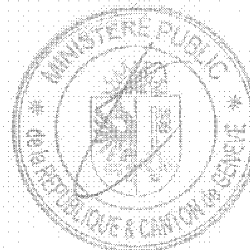


Nous avons l'honneur de vous adresser en retour sous ce pli, votre demande de notification d'un acte judiciaire:

- Pli recommandé notifié le 09 juillet par la Poste suisse (pas de signature du destinataire – Covid-19), soit **EXECUTE**.

Nous vous prions d'agréer l'expression de nos sentiments distingués.

Pour le Ministère Public  
Service des huissiers.  
Eric Fontannaz



F\_PG54

Envoi simple

Ministère public - Service des huissiers - Tél : +4122 327 66 00 - <http://ge.ch/justice/ministere-public>  
efax: [efaxMP@justice.ge.ch](mailto:efaxMP@justice.ge.ch) - efax avocats: [efaxMP.avocats@justice.ge.ch](mailto:efaxMP.avocats@justice.ge.ch)  
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FOE



République et canton de Genève  
**POUVOIR JUDICIAIRE**  
Ministère public

Genève, date du timbre postal

NA/71/2020/HPG

Ministère public  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
[www.ge.ch/justice](http://www.ge.ch/justice)

**RECOMMANDE**

M. KILLARNEY Anthony  
Route du Mandement 102  
1242 Satigny

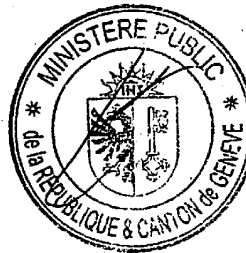
Réf : NA/71/2020 HPG  
à rappeler lors de toute  
communication

Monsieur,

Veillez recevoir ci-joint un acte judiciaire provenant du Département de la justice des USA vous concernant.

Veillez agréer, Monsieur, mes salutations distinguées

Ministère public  
Service des huissiers

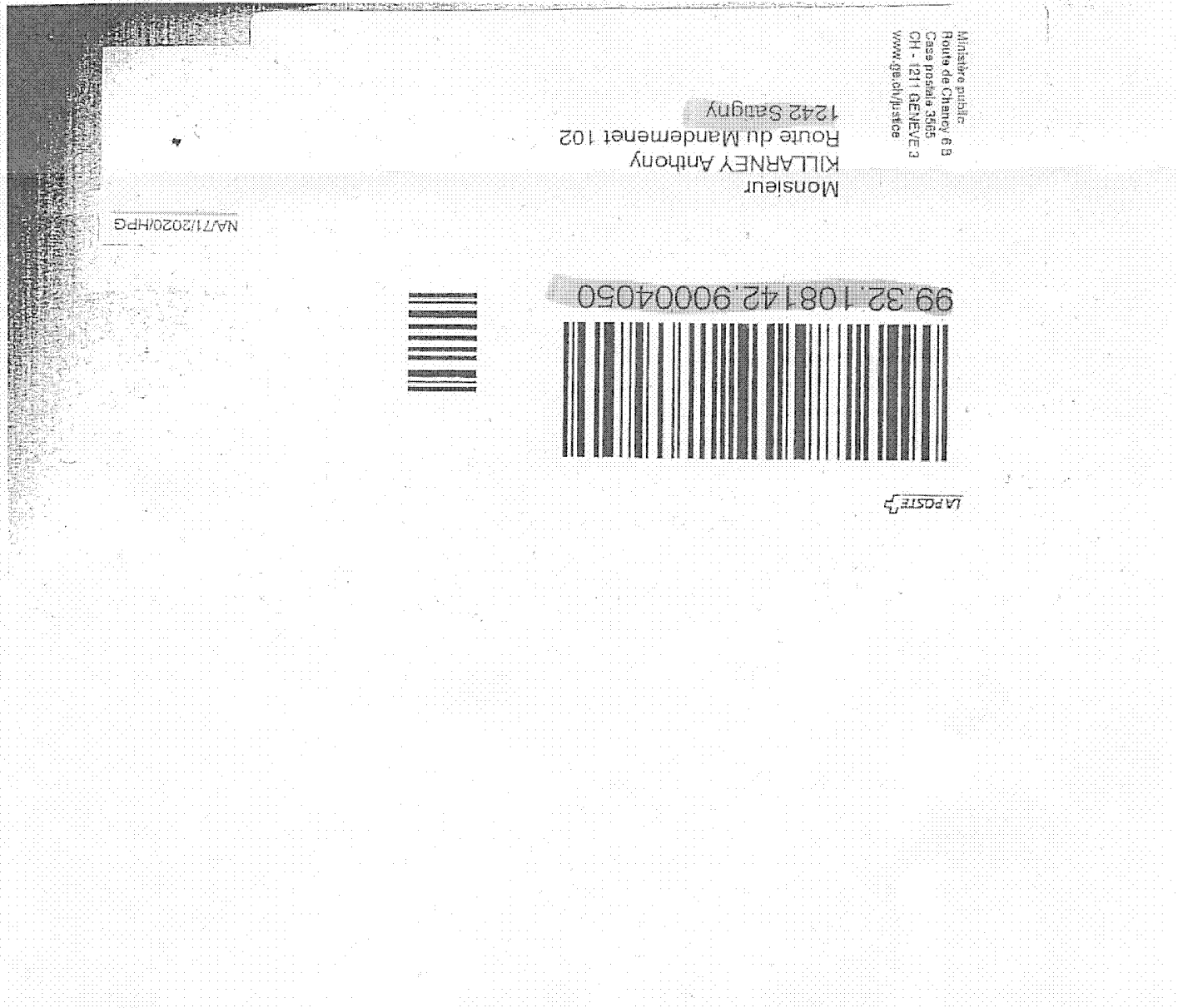


MP\_COUVERTURE

Envoi simple

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efax: [efaxMP@justice.ge.ch](mailto:efaxMP@justice.ge.ch) - efax avocats: [efaxMP.avocats@justice.ge.ch](mailto:efaxMP.avocats@justice.ge.ch)  
Règles d'utilisation efax: <http://ge.ch/justice/ministere-public/efax>  
Horaire guichet : 8h-12h 14h-17h / tél : 8h30-12h 14h-17h  
Accès TPG : 14 arrêt Quidort / 2, 19 arrêt Claire-Vue

FOE



NA/HA/2020



Espace clients Services en ligne Mes envois

Centre clientèle Mes envois

## Mes envois

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Numéro de l'envoi / code de retrait  
993210814290004050

Chercher



PostPac Economy

99.32.108142.90004050

Distribué

9 juillet 2020

## PostPac Economy

Numéro de l'envoi: 99.32.108142.90004050

Distribué

9 juillet 2020

Adresse de distribution

1242 Satigny

## Informations sur l'envoi

34.9 x 23.3 x 2.6 cm, 1.02 kg

jeudi, 9 juillet 2020

11:42 Distribué par  
1217 Meyrin Distribution

mercredi, 8 juillet 2020

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1310 Daillens Centre Colis

mardi, 7 juillet 2020



16:45 Envoi annoncé par l'expéditeur (données livrées)

— montrer moins